



Attorney Docket No. T9442

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: ROBERT P. ARBUCKLE, et )  
al. )  
TITLE: SYSTEM AND METHOD FOR )  
GUIDING A COMPUTER )  
USER TO PROMOTIONAL )  
MATERIAL ) RESPONSE TO NOTICE TO  
SERIAL NO.: 09/714,786 ) FILE MISSING PARTS OF  
NONPROVISIONAL  
FILED: November 15, 2000 ) APPLICATION  
EXAMINER \_\_\_\_\_ )  
ART UNIT: 2171 )

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of  
Nonprovisional Application mailed April 5, 2001, applicant responds  
as follows:

- x   Small Entity Status is hereby claimed.
- x   Pursuant to 37 C.F.R. 1.136(a), it is respectfully  
requested that the shortened statutory period  
which was set for responding to the communication

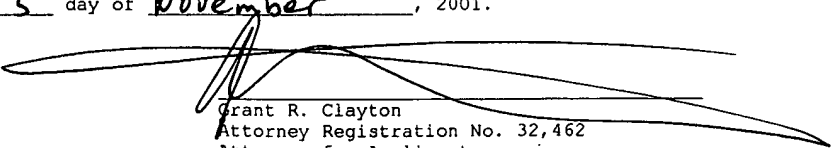
Certificate of Deposit Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 5 day of November, 2001.

01/15/2002 BABRAHA1 00000055 09714786

01 FC:228

980.00 DP

  
Grant R. Clayton  
Attorney Registration No. 32,462  
Attorney for Applicant

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dated April 5, 2001, be extended for five months  
until November 5, 2001.

Please find enclosed herewith the following items for filing  
in the United States Patent and Trademark Office in connection with  
the above-identified patent application:

- i. Declaration, Power of Attorney, and Petition  
executed by the inventor Robert P. Arbuckle ;
- ii. Declaration and Petition executed by the inventor  
Dirk J. Avery;
- iii. Assignment from the inventor Dirk J. Avery to  
Robert P. Arbuckle;
- iv. Assignment from 1800DISCOUNTS.COM, INC. to Robert  
P. Arbuckle;
- v. A Certificate under 37 C.F.R. § 3.73(b) to  
Establish Right of Assignee to Take Action;
- vi. Copy of the Notice to File Missing Parts of  
Application-Filing Date Granted;
- vii. Check No. 5116 in the amount of \$2,773.<sup>00</sup> to  
cover the filing fee for the above-identified  
application, the recordation fee for the enclosed  
patent assignment, and the surcharge fee pursuant  
to 37 C.F.R. § 1.16(e). These fees have been  
calculated as follows:

Basic filing fee for a Small Entity = 370.00

114 Claims in excess of 20 (x \$9) = 1,026.00

6 Independent Claims in excess of 3 (x \$42)	=	252.00
Assignment recordation fee x 2	=	80.00
Surcharge fee for a Small Entity	=	65.00
Extension for response within fifth month	=	980.00
TOTAL = 2,773.00		

Please debit any additional fees, or credit any overpayment,  
to Deposit Account No. 50-0836.

The Commissioner is hereby authorized to charge payment of the  
following fees associated with this communication or to credit any  
overpayment to Deposit Account No. 50-0836.

- ☒ Any additional filing fees required under 37 C.F.R. §  
1.16.
- ☒ Any patent application processing fees under 37 C.F.R.  
§ 1.17.

The Commissioner is hereby authorized to charge payment of the  
following fees during the pendency of this application or credit  
any overpayment to Deposit Account No. 50-0836.

- ☒ Any patent application processing fees under 37 C.F.R.  
§ 1.17.
- ☐ The issue fee set in 37 C.F.R. § 1.18 at or before  
mailing of the Notice of Allowance, pursuant to 37  
C.F.R. 1.311(b).
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation  
of extra claims.

Please direct all future correspondence in connection with  
this application to:

Customer No. 20451

Please direct all telephonic and facsimile communications to:

Grant R. Clayton

Telephone: (801) 255-5335

Facsimile: (801) 255-5338

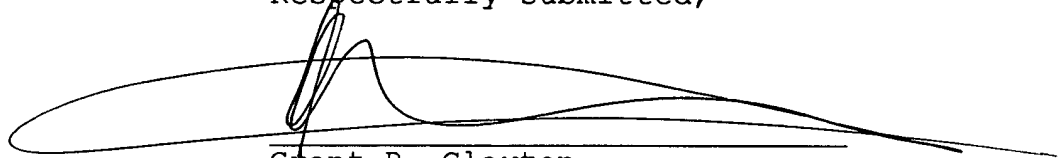
Please reference the below provided attorney docket number in all communications:

T9442

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 5 day of November, 2001.

Respectfully submitted,



Grant R. Clayton  
Attorney Registration No. 20451  
Attorney for Applicant

Clayton, Howarth & Cannon, P.C.  
P.O. Box 1909  
Sandy, Utah 84091  
Telephone: (801) 255-5335  
Facsimile: (801) 255-5338

GRC/hf

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## UNITED STATES PATENT AND TRADEMARK OFFICE

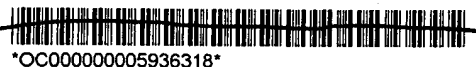
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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/714,786	11/15/2000		T9442

20451  
GRANT R CLAYTON  
CLAYTON HOWARTH & CANNON, PC  
P O BOX 1909  
SANDY, UT 84091-1909

CONFIRMATION NO. 5210

## FORMALITIES LETTER



\*OC000000005936318\*

Date Mailed: 04/05/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2802. 01/15/2002 BABRAHA1 00000054 09714786
  - \$2052 for 114 total claims over 20. 01 FC:201 370.00 OP
  - \$480 for 6 independent claims over 3. 02 FC:205 65.00 OP
  - \$270 for multiple dependent claim surcharge. 03 FC:203 1026.00 OP
  - 04 FC:202 252.00 OP
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3642.

02/21/2002 TVD111 00000006 500836 09714786

01 FC:204 140.00 CH

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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